

PATENT APPLICATION  
Docket No.: 9437.13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: )  
Rick V. Murakami et al. )  
Serial No.: 09/815,568 ) Art Unit  
Filed: March 23, 2001 ) 3737  
For: METHOD AND APPARATUS FOR CALIBRATION )  
OVER TIME OF HISTOLOGICAL AND )  
PHYSIOLOGICAL BIOMETRIC MARKERS )  
FOR AUTHENTICATION )

CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby certify that this document and the documents listed below are being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the 28<sup>th</sup> day of September 2001.

- Notice of Incomplete Reply
- Replacement Pages 23-32
- Check No. 42054 for \$195.00
- Response to Notice to File Missing Parts of Application
- Postcard

Respectfully submitted,

Michael M. Conger  
Attorney for Applicant  
Registration No. 43,562

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60 East South Temple  
Salt Lake City, Utah 84111  
Telephone: (801) 328-3600  
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**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
9437.13

In Reply Application Of: Rick V. Murakami et al.

Serial No.  
09/815,568

Filing Date  
March 23, 2001

Examiner  
not assigned

Group Art Unit  
3737

Invention:

**METHOD AND APPARATUS FOR CALIBRATION OVER TIME OF HISTOLOGICAL AND PHYSIOLOGICAL  
BIOMETRIC MARKERS FOR AUTHENTICATION**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
May 29, 2001  
Date

Enclosed herewith for filing are the following:

- ☐ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ \_\_\_\_\_ verified small entity declaration(s)
  - ☐ is/are attached.
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.
- ☒ Other (list):

Notice of Incomplete Reply  
Replacement Pages 23-32  
Check No. 42054 for \$195.00  
Certificate of Deposit Under 37 C.F.R. 1.8  
Postcard

10/11/2001 SDIRETA1 00000030 500843 09815568  
01 FC:216 5.00 CH 195.00 OP

**Response To Notice To File Missing Parts Of Application**  
**Filing Date Granted (PTO-1533)(Small Entity)**

**Docket No. .**  
**9437.13**

In Re Application Of: **Rick V. Murakami et al.**

Serial No.  
09/815,568

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**March 23, 2001**

Examiner  
not assigned

Group Art Unit  
not assigned

**Invention:**

## METHOD AND APPARATUS FOR CALIBRATION OVE TIME OF HISTOLOGICAL AND PHYSIOLOGICAL BIOMETRIC MARKERS FOR AUTHENTICATION

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

- ☐ Completion of application fees as calculated below:

- |  |       |
|--|-------|
| <input type="checkbox"/> Utility application filing fee  | _____ |
| <input type="checkbox"/> Design application filing fee   | _____ |
| <input type="checkbox"/> Total number of independent claims = _____  | _____ |
| <input type="checkbox"/> Total number of claims = _____  | _____ |
| <input type="checkbox"/> Multiple dependent claims   | _____ |
| <input type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | _____ |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor    | _____ |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification           | _____ |
| <input type="checkbox"/> Fee for processing and retention of application   | _____ |

Total completion of application fees

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

- ☐ One month      ☒ Two months      ☐ Three months      ☐ Four months      ☐ Five months

from: May 29, 2001  
Date

until: September 29, 2001  
Date

**Total time extension fees** **\$195.00**

**Total fees due                    \$195.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
9437.13

In Re Application Of: Rick V. Murakami et al.

Serial No.  
09/815,568

Filing Date  
March 23, 2001

Examiner  
not assigned

Group Art Unit  
3737

Invention:

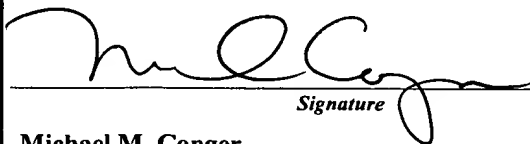
**METHOD AND APPARATUS FOR CALIBRATION OVER TIME OF HISTOLOGICAL AND PHYSIOLOGICAL  
BIOMETRIC MARKERS FOR AUTHENTICATION**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of \$195.00 is to be paid as follows:

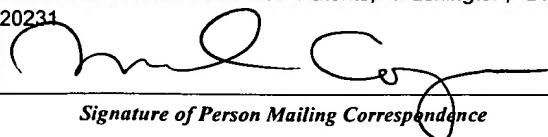
- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0843  
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-0843  
A duplicate copy of this sheet is enclosed.

  
Signature

Dated: Sept. 28, 2001

Michael M. Conger  
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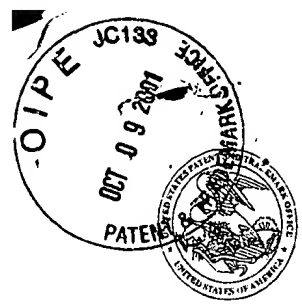
I certify that this document and fee is being deposited  
on Sept. 28, 2001 with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231

  
Signature of Person Mailing Correspondence

Michael M. Conger

Typed or Printed Name of Person Mailing Correspondence

CC:



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/815,568	03/23/2001	Rick V. Murakami	9437.13

CONFIRMATION NO. 6499

FORMALITIES LETTER



KIRTON & McCONKIE  
Suite 1800  
60 East South Temple  
Salt Lake City, UT 84111

Date Mailed: 09/18/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, doesnot include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may,however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a)accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE